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Shri Swami Vivekanand Shikshan Sanstha's

VIVEKANAND COLLEGE, KOLHAPUR (AUTONOMOUS)

Department of Economics

Topic: **SEBI**

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Financial System

a set of institutions,

such as banks, insurance companies, and stock exchanges, that permit the exchange of funds

.... Borrowers, lenders, and investors exchange current funds to finance projects,

either for consumption or productive investments, and

to pursue <u>a return</u> on their financial assets.



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Capital Market

- Market For Long term funds
- Maturity period more than one
- Primary Market
- ➤ Secondary Market
- ➤ Government Bond Market

Securities and Exchange Board of India (SEBI)

• Founded: 12 April 1992

• **Sector:** Securities market

• Jurisdiction: India

• **Headquarters:** Mumbai

 Agency executive: Madhabi Puri Buch (Chairperson)

• **Type:** Statutory corporation

 It monitors and regulates the Indian capital and securities market while ensuring to protect the interests of the investors, formulating regulations and guidelines.



Role of SEBI:

- This regulatory authority acts as a watchdog for all the capital market participants and its main purpose is to provide such an environment for the financial market that facilitate the efficient and smooth working of the securities market.
- 1. Issuers Security
- 2. Investors
- 3. Financial Intermediaries

Objectives of SEBI:

1. Protection to the investors

The primary objective of SEBI is to protect the interest of people in the stock market and provide a healthy environment for them.

2. Prevention of malpractices

This was the reason why SEBI was formed. Among the main objectives, preventing malpractices is one of them.

3. Fair and proper functioning

SEBI is responsible for the orderly functioning of the capital markets and keeps a close check over the activities of the financial intermediaries such as brokers, sub-brokers, etc.